Product Release Note
Oracle Banking Trade Finance Process Management
Release 14.1.0.0.0
Part No. [E97615-01]
July 2018

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FINANCIAL SERVICES

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Product Release Note
July 2018
Version 14.1.0.0.0

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1. Release Notes

1.1 Background

Oracle Financial Services Software Limited has developed Oracle Banking Trade Finance Process Management 14.1.0.0.0 (OBTFPM), which enable banks to streamline their trade finance operations. A robust workflow engine enables transparency of the process from initiation to fulfilment and brings in rich user experience and process efficiencies.

1.2 Purpose

The purpose of this Release Note is to propagate the enhancements in Oracle Banking Trade Finance Process Management 14.1.0.0.0.

1.3 Abbreviations

Abbreviation	Description
BPEL	Business Process Execution Language
BPMN	Business Process Model and Notation
KYC	Know Your Customer
SSO	Single Sign On
SWIFT	Society for Worldwide Interbank Financial Telecommunication
UI	User Interface
XML	eXtensible Markup Language
LC	Letter of Credit
SFTP	Simple File Transfer Protocol
REST	REpresentational State Transfer
DB	Database
OBTFPM	Oracle Banking Trade Finance Process Management

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1.4 <u>Release Highlights</u>

The rationale for the release of Oracle Banking Trade Finance Process Management version 14.1.0.0.0 is to support banks' operational requirements, Customer Experience, regulatory requirement and enhance features that are essential in competitive market.

The following processes are supported in this release:

1. Import Letter of Credit Issuance
2. Export Letter of Credit Advising
3. Guarantee Issuance
4. Guarantee Advising

Following are the features of Oracle Banking Trade Finance Process Management and technical qualification to comply with approved Tech Stack.

- Customer Email Notification
  - Acknowledgement for LC and Guarantee issuance Requests
  - Notification of Preview Message of LC and Guarantee for Draft Confirmation
  - Notification of Rejection of Request
- Limit and Collateral Verification
  - Automated Limit Checks and
  - Automated Collateral Checks
- Compliance Checks
  - Automated KYC Status Verification
  - Linkage to AML and Sanction Engine
- Exception Approval
  - KYC Exception Screens
  - Limit Exception Screens
  - Amount Block Exception Screens
- Charges Replication from Backend System
  - Replicates and populates the Charges maintained for the Products used from FLEXCUBE UBS
- Customer and other common Core items replication from Backend System
  - Replicates Customer, Branch and other common maintenances from FLEXCUBE UBS
- Draft Confirmation of LC/ Guarantee before Issuance
  - Customer can confirm the LC and Guarantee Text before Issuance
  - Bank's Legal Team can confirm the Guarantee Text before Issuance
- Templates
  - Template helps accelerate LC issuance and Guarantee Issuance
- 2018 SWIFT Changes
  - Full Support to 2018 SWIFT changes
- Document Handling

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- Provides facility to maintain the documents required for different stages within a process and allows user to upload/view and download documents
- Checklists
  - Provides a List of 'To Do Activities' per stage in a process and the list can be configured by the bank.
- Remarks
  - Placeholder provided in the UI to capture remarks. The remarks comes with the date, time and user details and can be viewed by other users throughout the process
- Widgets
  - Configurable widgets with features that assists RM, Management and other users in decision making, customer service and operations
- Process Management
  - Ability to Create, Track and Manage SLA
  - Stages and Screens (Data Segments) are configurable as per roles
  - Workflows engine facilitate movement of the stages and track statuses of tasks
- User friendly UI
  - Screens are role specific and arranged as per SWIFT tags
  - All stages have Summary pages which help users in faster processing
  - Rekey Facility helps in minimizing Approval Errors
- Core Maintenances

  Following maintenance screens have been provided for setting up core maintenances:
  - Country Maintenance
  - Currency Maintenance
  - Core Bank Maintenance
  - Core Branch Maintenance
  - Holiday Maintenance
  - External Customer Maintenance
  - External Accounts Maintenance
- SMS (Security Management System)

  Following maintenance screens have been provided for setting up SMS (Security Management System) maintenances:
  - User Role Maintenance
  - User Maintenance
- Masking
  - Personally Identifiable Information (PII) data masking facility based on configurable number of days post deactivation of service at bank level.

2. Components of the Software

2.1 Documents Accompanying the Software

The various documents accompanying the software are as follows:

- Product Release Note
- User and Installation manuals

2.2 Software Components

Software Components of Oracle Banking Trade Finance Process Management 14.1.0.0.0 that form part of this release are as follows:

Host

- Service Components
- UI Components (OJET)
- Tables, Sequences, INC
- Process Framework components (BPEL,BPMN)
- Configuration files used for deployment
- Advices / Reporting Components (Data models (xdmz), Reports (xdoz),Templates(rtf)

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3. Annexure – A: Environment Details

Component	Deployment option	Machine	Operating System	Software	Version Number
Oracle Banking Trade Finance Process Management	UI-Host and Centralized	Application Server	Oracle Linux Server release 7.3 (x86 64 Bit)	Oracle WebLogic	12.2.1.3.0
				Java HotSpot (TM) JDK (with WebLogic Application Server)	JDK 8 Update 162
				Oracle Repository Creation Utility (RCU) - To create SOA related schemas for BPEL	12.2.1.3.0
				Oracle SOA Suite, Oracle BPM Suite	12.2.1.3.0
		Database Server	Oracle Linux Server release 7.3(x86 64 Bit)	Oracle RDBMS Enterprise Edition	12.2.0.1.0
		Reporting Server	Oracle Enterprise Linux 7.3 (x86 64 Bit)	Oracle WebLogic	12.2.1.3.0
				Oracle BI Publisher	12.2.1.3.0
				Repository Creation Utility	12.2.1.3.0
				Oracle Database (for RCU)	12.2.0.1.0
				JDK	JDK 8 Update 162
		Document Management System	Oracle Enterprise Linux Server 7.3 (x86 64 Bit)	Oracle WebLogic	12.2.1.3.0
				Oracle WebCenter Content Imaging	12.2.1.3.0
				Repository Creation Utility	12.2.1.3.0
				Oracle Database (for RCU)	12.2.0.1.0

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				JDK	JDK 8 Update 162
		Client Machines#		Internet Explorer	Microsoft Internet Explorer Release (11.*)
				Mozilla Firefox	Mozilla Firefox Release (52+)
				Google Chrome	Google Chrome Release (66+)
				Microsoft Edge	41.16299.492.0

Note: # Browser support is no longer based on Operating Systems but strictly tied to the browser themselves, no matter on which Operating Systems they are installed. Current release is certified on client workstations with Windows 7, 8, 10 and Mac OS X.

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4. Annexure – B: Third Party Software Details

For details on the third party software used, see Oracle Banking Trade Finance Process Management 14.1.0.0.0 Licensing Guide available in the OHC Documentation Library.

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